

1 **Effect of freezing on physical properties of whey protein emulsion films**

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17

18 **Abstract**

19 The objective of this work was to study the effect of the freezing process on physical  
20 properties of whey protein emulsion films with different beeswax content dried at 5 °C.  
21 Thickness, microstructure, water vapour permeability, solubility in water, sorption  
22 isotherms and mechanical properties were measured in Control and Frozen films. The  
23 freezing process did not cause fractures or perforations in films, but films with beeswax  
24 showed a change in the appearance of the lipids after freezing. Only films with 40% of  
25 beeswax showed a significant increase in the water vapour permeability after freezing.  
26 The freezing process did not affect film solubility in water but produced small  
27 differences in the equilibrium moisture content values. In the puncture test, the freezing  
28 process increased puncture strength and deformation of films without beeswax but those  
29 parameters were not affected in films with beeswax. In tensile test, tensile strength and  
30 elastic modulus decreased, but elongation was not affected by freezing process.  
31 Principal component analysis accomplished an adequate condensation of the data  
32 grouping samples according to film formulation and treatment (Control and Frozen  
33 films). Indeed, the relationships of sample grouping and measured parameters were  
34 enlightened by principal component analysis. In conclusion, whey protein emulsion  
35 films were resistant to the freezing process (freezing, frozen storage and thawing) and  
36 could be a good alternative as a treatment to preserve the quality of frozen foods.

37

38 **Keywords:** whey protein emulsion films; beeswax; freezing; physical properties.

39

## 40 **1. Introduction**

41 Moisture loss in frozen foods has important economic consequences and is continuously  
42 receiving the attention of food scientists mainly due to the fact that drip loss during  
43 thawing, as a result of irreversible tissue damage during the freezing process (freezing,  
44 storage, and thawing), leads to reduced visual attraction and nutrient loss (Duan &  
45 Zhao, 2011). Weight loss is important not only for the economical impact of sealable  
46 weight reduction, but also because moisture loss is strongly related with the  
47 preservation of food structure and consequently food texture. In addition, moisture loss  
48 can produce freezer burn and a glassy appearance in some food products, caused by the  
49 presence of tiny cavities caused by sublimated ice (Pham & Mawson, 1997).

50 Edible films and coatings could constitute a good alternative for improving the quality  
51 of frozen foods, mainly because they can reduce the rate of moisture transfer between  
52 the food and the surrounding atmosphere, improve structural integrity of frozen foods  
53 during thawing, and slow down the occurrence of freezer burn (Duan, Cherian, & Zhao,  
54 2010).

55 Edible coatings have been applied on frozen foods, mainly in fish, meat, and poultry.  
56 Several authors reported that the rate of moisture loss was reduced, and other quality  
57 parameters were either improved or maintained, in comparison with uncoated food  
58 samples, when coatings of different biopolymers (whey proteins, chitosan, alginate,  
59 collagen, cellulose, methylcellulose, etc.), with or without lipids, were applied (Stuchell  
60 & Krochta, 1995; Han, Zhao, Leonard & Traber, 2004; Yu, Li, Xu, & Zhou, 2008;  
61 Duan & Zhao, 2010). Stuchell and Krochta (1995) described the application of an edible  
62 coating based on whey protein isolate and acetylated monoglycerides in salmon fillets  
63 that was effective in reducing the rate of moisture loss and to delay the onset of lipid  
64 oxidation after 3 weeks of storage at -23 °C. Another study reported that chitosan

65 coatings incorporated with fish oil reduced the drip loss of frozen lingcod fillets (Duan  
66 & Zhao, 2010). Moreover, coatings based on sodium alginate and calcium decreased  
67 thawing loss, shear force, and thiobarbituric acid reactive substances, and thus were  
68 effective to maintain the quality of frozen pork (Yu et al., 2008). Only one study  
69 analyzing the effect of edible coatings to maintain the quality of frozen fruits was found  
70 in literature (Han et al., 2004). These authors used edible coatings to improve storability  
71 of frozen strawberries and observed that chitosan based coatings were able to reduce  
72 drip loss and helped to maintain textural quality of frozen strawberries after thawing.

73 Edible films have been hardly applied in wrapped frozen foods. Only one study  
74 analyzing the effect of the freezing process on quality parameters of steak overwrapped  
75 with an edible film was reported (Farouk, Price, & Salih, 1990). These authors observed  
76 that round steak beef overwrapped with an edible collagen film (Coffi®) exhibited  
77 much less fluid exudate after a week of frozen storage at -25 °C, when compared with  
78 standard permeable film overwrap. However, the edible film had no significant effect on  
79 colour and lipid oxidation.

80 In the case of heterogeneous foods, edible films with good water barrier properties,  
81 placed between two food components with different water activity, can reduce moisture  
82 transfers between those components (Duan & Zhao, 2011). This area has also been  
83 scarcely studied and only three reports were found in literature in products like bread  
84 and tomato-based sauce, simulated sundae ice cream cone, and model system of tomato  
85 paste and ground crackers (Kamper & Fennema, 1985; Kester & Fennema, 1989; Rico-  
86 Peña & Torres, 1990). An edible lipid-cellulose composite film effectively limited  
87 internal transmission of water when situated at the interface between high and low  
88 moisture component of a model frozen-food system consisting of bread and a tomato-  
89 based sauce after 9 weeks of frozen storage (Kester & Fennema, 1989). Similarly, an

90 edible bilayer film of methylcellulose and palmitic acid retarded moisture transfer from  
91 ice cream to the sugar cone keeping its crispness longer than the commercial storage-  
92 life of the uncoated product (Rico-Peña & Torres, 1990).

93 In addition to moisture migration, other physicochemical properties such as physical  
94 integrity, mechanical strength, and plasticity of free-standing films have an influence on  
95 the application, particularly in the case of overwrapped products. Thus, it is surprising  
96 that the effect of freezing on physical properties of free-standing films had been  
97 restricted to the study of moisture migration, and limited to certain film forming  
98 materials like cellulose or hydroxypropyl methylcellulose and fatty acids (Kamper &  
99 Fennema, 1984; Kamper & Fennema, 1985; Kester & Fennema, 1989).

100 In a previous investigation we obtained whey protein emulsion films with good  
101 mechanical properties and improved water vapour permeability characteristics when  
102 films were dried at 5 °C compared with 25 °C (Soazo, Rubiolo, & Verdini, 2011a).  
103 Furthermore, we studied the sorption behaviour of whey protein emulsion films and  
104 showed the influence of both film formulation and drying temperature on the  
105 equilibrium moisture content of films (Soazo, Rubiolo, & Verdini, 2011b).

106 The objective of this investigation was to study the effect of freezing on microstructure,  
107 water vapour permeability, solubility in water, moisture sorption, and mechanical  
108 properties of whey protein emulsion films dried at 5 °C.

109

## 110 **2. Materials and methods**

111

### 112 **2.1. Materials**

113 Whey protein concentrate (WPC) 80% (Arla Food Ingredients S.A., Argentina),  
114 beeswax (BW) (yellow, refined, Sigma-Aldrich, USA), Glycerol (Gly) (Cicarelli,

115 Argentina), potassium sorbate (Anedra, Argentina), and Tween 80 (Anedra, Argentina)  
116 were used.

117

## 118 **2.2. Preparation of film-forming solutions**

119 Aqueous solutions of 8% (w/w) WPC (pH=6.2) were prepared as described in Soazo et  
120 al. (2011a). Glycerol (in proportion WPC/Gly 3:1 w/w dry solid basis) and potassium  
121 sorbate (to obtain a final concentration of 0.12% w/w dry solid basis) were added, and  
122 solutions were magnetically stirred during 15 min. Then, BW (at 0, 20 and 40% w/w  
123 dry solid basis in the mixture WPC/Gly) and Tween 80 (in proportion BW/Tween 4:1)  
124 were incorporated. Tween 80 was used as emulsifier in the solutions containing BW.  
125 The amount of distilled water was adjusted to obtain a total solid content of 11.5%. Film  
126 forming solutions were heated at 90 °C for 30 min in a water bath (Dalvo Instruments,  
127 Argentina) to achieve whey proteins denaturation. Emulsions were obtained by  
128 homogenization in the water bath at 90 °C using a high-shear probe mixer Ultra-Turrax  
129 T25 (IKA Werke, Janke & Kunkel GmbH & Co KG, Staufen, Alemania) with a stator  
130 diameter of 10 mm, a beaker diameter of 70 mm, and a protein dispersion volume of  
131 200 mL, during 5 min at 21500 rpm. After homogenization, the emulsions were placed  
132 in an ice bath during 30 min to prevent further denaturation of the whey proteins and to  
133 crystallize the lipid particles. The emulsions were degassed at room temperature with a  
134 vacuum pump.

135

## 136 **2.3. Film formation**

137 Eight grams of the degassed emulsion were pipetted on 90 mm diameter disposable  
138 polyethylene Petri dishes. Films were dried at 5 °C and 58% relative humidity (RH) on  
139 a levelled surface in an environmental chamber Tabai Comstar PR 4GM (Tabai Espec.

140 Corp., Japan) equipped with a fan that circulates interior air at approximately 60 m/min.  
141 Drying was completed after  $20 \pm 2$  h. The films used in the different tests were selected  
142 based on the lack of physical defects such as cracks, bubbles, and holes. A group of  
143 films was conditioned at 25 °C and 58% RH for 3 days and subsequently employed for  
144 the determinations (Control Group). Another group of films was frozen in the  
145 environmental chamber at -30 °C, and then stored in plastic containers at  $-20 \pm 2$  °C for  
146 30 days. Completed this period the films were thawed at 5 °C and conditioned at 25 °C  
147 and 58% RH for 3 days before analysis (Frozen Group).

148

#### 149 **2.4. Film thickness**

150 Film thickness was measured with a digital micrometer (Schwyz, China). For each film,  
151 nine thickness measurements were taken. Films were obtained with an average  
152 thickness of  $0.156 \pm 0.011$  mm.

153

#### 154 **2.5. Scanning electron microscopy**

155 The film samples were cryo-fractured by immersion in liquid air and mounted on  
156 bronze stubs perpendicularly to their surface. The portions were coated with gold during  
157 15 min at 70-80 mTorr. Micrographs of films cross-section were taken with a JEOL  
158 JSM-35C electron microscope (JEOL, Japan) using an accelerating voltage of 20 kV.  
159 Magnification of 400 was used.

160

#### 161 **2.6. Water vapour permeability**

162 A modification of the (E96-95, ASTM, 2002) gravimetric method for measuring water  
163 vapour permeability (WVP) was used. Films were mounted on cups containing 10 mL  
164 of distilled water, with a permeation area of  $19.6 \text{ cm}^2$ , with the film surface which had

165 been exposed to air during drying facing the external side of the cup, as described in  
166 Soazo et al. (2011a). Cups were placed in the environmental chamber at 25 °C and 58%  
167 RH and weighed every hour and a half to obtain four successive steady-state  
168 measurements. Weight loss-time curves were used to calculate the % RH at the film  
169 underside and the resulting WVP as described in McHugh, Avena Bustillos and Krochta  
170 (1993). Determinations were performed in quintuplicate.

171

## 172 **2.7. Solubility in water**

173 Pieces of films of 15 × 7.5 mm were cut with a scalpel, dried in an oven (Dalvo  
174 Instruments, Argentina) at 70 °C for 24 h and weighed to obtain the initial film dry  
175 weight. Each piece of film was placed into a glass tube with 10 mL of distilled water  
176 and 0.01% potassium sorbate to prevent microbial growth. Capped tubes were placed on  
177 a shaking platform (Vicking, Argentina) for 24 h at 25 °C. Circular filter papers  
178 (qualitative grade, Boeco, Germany) were dried 24 h in the oven at 70 °C, cooled to  
179 room temperature in a desiccator, and weighed to obtain the initial dry filter weight. The  
180 solution containing the films was filtered by pouring the contents of the test tube onto a  
181 filter paper placed in a Buchner funnel attached to the neck of a 250 mL erlenmeyer  
182 connected to a vacuum pump (Soazo et al., 2011a). The remaining solids on the filter  
183 were dried in the oven at 70 °C for 24 h to determine the final filter dry weight. The  
184 difference between the final dry filter weight and initial dry filter weight yielded the  
185 final dry film weight. Solubility in water, expressed as soluble solids (%), was obtained  
186 by subtracting the weight of dry matter not solubilised from the weight of initial dry  
187 matter and reported on initial dry weight basis (Sothornvit, & Krochta, 2000).  
188 Determinations were performed in quintuplicate.

189



## 190 **2.8. Moisture sorption isotherms**

191 Films were cut in portions of 400 mg, placed in glass bottles previously weighed, and  
192 pre-dried in desiccators containing drierite ( $a_w=0$ ) during 10 days. Then, the bottles  
193 were placed in hermetically sealed glass jars containing different desiccants as  
194 described in Soazo et al. (2011b). Ten saturated salt solutions: LiCl,  $KC_2H_3O_2$ ,  
195  $MgCl_2 \cdot 6H_2O$ ,  $K_2CO_3$ ,  $Mg(NO_3)_2 \cdot 6H_2O$ , NaBr,  $SrCl \cdot 6H_2O$ , NaCl, KCl, and  $BaCl_2 \cdot 2H_2O$   
196 were used to obtain the respective RH/100 conditions: 0.11, 0.22, 0.33, 0.43, 0.53,  
197 0.58, 0.71, 0.75, 0.84, and 0.90 (Jowitt & Wagstaffe, 1989). Film portions were  
198 equilibrated in contact with each salt solution during 10 days at 25 °C. After that, the  
199 bottles were weighed to obtain the sample weight at equilibrium and then dried in the  
200 oven at 105 °C during 4 hours to obtain the weight of the dry sample. The analyses were  
201 made in quintuplicate. The equilibrium moisture content (EMC) was calculated using  
202 Equation 1:

203

$$EMC = \frac{(w_2 - w_3)}{(w_3 - w_1)} 100 \quad (1)$$

204 where  $w_1$  is the weight of the empty glass bottle,  $w_2$  is the weight of the sample at  
205 equilibrium plus the weight of the empty glass bottle and  $w_3$  is the weight of the dry  
206 sample plus the weight of the empty glass bottle.

207

208 In a previous work we studied the effect of drying temperature and beeswax content on  
209 moisture isotherms of whey protein emulsion films and observed that the Guggenheim-  
210 Anderson-De Boer (GAB) model was the most appropriate to describe the moisture  
211 sorption of the films (Soazo et al., 2011b). Consequently, the EMC values were fitted  
212 using the GAB model as followed:

213

214 
$$\text{EMC} = \frac{m_0 C k a_w}{(1 - k a_w)(1 - k a_w + C k a_w)}$$
 (2)

215

216 where  $a_w$  is the water activity,  $m_0$  is the monolayer moisture content (g H<sub>2</sub>O/g solids),  $C$  is  
 217 the surface heat constant and  $k$  is an additional constant.

218

219 Experimental data were fitted to Equation 2 using an algorithm written in Matlab 6.5.1  
 220 (MathWorks Inc, USA). The parameters were calculated minimizing the error function  
 221 as described by Coupland, Shaw, Monahan, O’Riordan and O’Sullivan (2000):

222

223 
$$\text{error} = \frac{1}{n} \sum_{a_w=0}^n \left| \frac{\text{EMC}_{\text{measured}(a_w)} - \text{EMC}_{\text{modeled}(a_w)}}{\text{EMC}_{\text{measured}(a_w)}} \right|$$
 (3)

224

## 225 **2.9. Mechanical properties**

226 Tensile and puncture tests were performed to evaluate the mechanical strength of the  
 227 films using a single column Universal Testing Machine Instron, Series 3340 (Instron,  
 228 USA) with a 10 N load cell. Films strips of 7 mm wide and 60 mm length were used for  
 229 the tensile tests, while discs of 90 mm of diameter were used for the puncture test.  
 230 Samples were equilibrated to the testing environment for 2 h at 22 °C and 50% RH on  
 231 average. For each mechanical test ten replications were performed.

232 Strips for the tensile test were cut using a scalpel. The ends were mounted with a double  
 233 sided tape and 30 mm squares made of cardstock. These cardstock pads were placed on  
 234 the film strips ends to prevent tearing and slippage in the testing device (Shellhammer  
 235 & Krochta, 1997). The exposed film strip length between the cardstock was 30 mm.  
 236 Crosshead speed was 0.05 mm/s. The parameters obtained from this test were tensile  
 237 strength (TS), elongation (E), and elastic modulus (EM). TS was calculated dividing the

238 peak load by the cross sectional area of the initial film (thickness of film  $\times$  7 mm), E  
239 was obtained as the percentile of the change in the length of specimen to the original  
240 distance between the grips and EM was determined from the initial slope of the stress-  
241 strain curve (Han, Seo, Park, Kim, & Lee, 2006).

242 For the puncture test film discs were fixed to a support with a circular opening of 50  
243 mm in diameter and 30 mm in depth. A cylindrical probe of 2 mm diameter was moved  
244 perpendicularly to the film surface at a constant speed of 0.8 mm/s until it passed  
245 through the film. Puncture strength (PS) and deformation (D) at the puncture point were  
246 obtained from force-distance curves (Chen & Lai, 2008).

247

#### 248 **2.10. Statistical analysis**

249 A full factorial design was performed. Two factors (Freezing and BW content) in two  
250 and three levels, respectively, were studied (Frozen and Controls films, and 0, 20 y 40%  
251 of BW). Analysis of variance was used and when the effect of the factors was  
252 significant ( $p < 0.05$ ), the Tukey multiple ranks honestly significant difference (HSD)  
253 test was applied (95% of confidence level).

254 Principal component analysis (PCA) was used to reduce the dimensionality of the data  
255 obtained in the determinations and to show the relationships between treatments  
256 (Johnson & Wichern, 1998). The principal components (PCs) are numbered in order of  
257 the amount of variation in the original data set. Consequently, the first principal  
258 component accounts for the most variation, and each subsequent principal component  
259 accounts for as much of the remaining variation. An adequate condensation of the  
260 information is achieved when no more than two or three PCs can explain at least 80–  
261 90% of the total variability (Verdini, Zorrilla, Rubiolo, & Nakai, 2007).

262 Because the input variables differed in magnitude, all values of a given variable were  
263 scaled from 0 to 100 respect to the range between the smallest and the largest variable  
264 value before performing PCA analysis (Verdini et al., 2007). The statistical analysis was  
265 performed using Minitab 13.20 (Minitab Inc., USA).

266

### 267 **3. Results and discussion**

268

#### 269 **3.1. Scanning electron microscopy**

270 Figure 1 shows characteristic images of transversal sections of Frozen and Control  
271 emulsion films. The upper side (A) was exposed to air and the lower side (B) was in  
272 contact with the Petri dish surface during drying.

273 After the freezing process, no fractures or perforations were observed in Frozen films.  
274 Frozen and Control films without BW showed a continuous and homogeneous cross-  
275 section. The homogeneous cross-section was also observed by other authors in unfrozen  
276 films based on casein, amaranth flour and soy protein (Chick & Hernandez, 2002;  
277 Tapia-Blácido, Sobral & Menegalli; 2005; Denavi, Tapia-Blácido, Añón, Sobral, Mauri  
278 & Menegalli, 2009).

279 Both Frozen and Control films with BW showed a preferential location of the wax on  
280 the film side exposed to air during drying. This phenomenon, may be related to the fact  
281 that film forming solutions with BW suffered destabilization during drying, and was  
282 more evident as BW content increased. However, the destabilizing phenomenon taking  
283 place during the drying step is dependent on the interactions between the components of  
284 the film. Prodpran, Chinabark and Benjakul (2005) showed evidence of emulsion  
285 destabilization during drying in surimi protein based edible films. Atarés, Bonilla and  
286 Chiralt (2010) reported that the final microstructure of unfrozen caseinate based films

287 with ginger oil had more discontinuities as the ginger oil content increased. On the other  
288 hand, the authors found that unfrozen caseinate based films with cinnamon oil showed  
289 no apparent differences in their microstructural aspect, probably due to the fact that the  
290 small size of the particles in the emulsions was not modified during the drying process  
291 progress, and cinnamon oil stayed homogeneously distributed in the dry protein matrix.  
292 Finally, lipids located on the surface of Frozen films looked flattened compared with  
293 those of Control films.

294

### 295 **3.2. Water vapour permeability**

296 Table 1 shows the WVP of Frozen and Control emulsion films with different BW  
297 contents. An increasing tendency in WVP values after 30 days of frozen storage was  
298 observed. However, this increase was statistically significant ( $p < 0.05$ ) only in films  
299 with 40% of BW.

300 In agreement, Kester and Fennema (1989) who studied the effect of low temperature  
301 storage on barrier characteristics of wax-laminated films of lipids and cellulose ethers  
302 stored at  $-40\text{ }^{\circ}\text{C}$  for 3 and 9 weeks, found a small increase in WVP possibly due to slight  
303 imperfections that could develop because of fluctuating storage temperatures and an  
304 accompanying contraction and expansion of the lipids. If major cracking or fracture had  
305 occurred, a dramatic elevation in permeability would be anticipated.

306 In the present work, cracking or fractures were not observed. But, the scanning electron  
307 microscopy analysis of Frozen films revealed that the morphology of lipids located on  
308 the surface showed a flattened appearance. This phenomenon may be related to the  
309 slight differences observed in the WVP between Frozen and Control films. In  
310 agreement, Kester and Fennema (1989) showed that the lipid morphology was related to  
311 moisture transfer resistance of the cellulose based edible films.

312 Analyzing the addition of BW in Frozen and Control films, the lipid addition decreased  
313 WVP in both groups, but the decrease was higher for Control films. In agreement, the  
314 micrographs showed a high proportion of the film surface exposed to air during drying  
315 covered by lipids; this bilayer like structure could explain the low WVP values.

316

### 317 **3.3. Solubility in water**

318 Table 1 shows the solubility in water of Frozen and Control WPC emulsion films with  
319 different BW contents. Both groups were partially soluble (solubility between 22.4 and  
320 39.4%) maintaining their integrity during immersion in water.

321 Solubility of whey protein films without BW was not affected by the freezing process.  
322 Films with BW showed an increasing tendency in solubility that was not statistically  
323 significant ( $p>0.05$ ). These results are in accordance with the microscopic images that  
324 showed no signs of altered matrix porosity that could modify the solubility of the  
325 hydrophilic compounds included in the film formulation.

326 In reference to the addition of BW, Frozen and Control films showed a tendency to  
327 decrease solubility with the corresponding BW addition, although this effect was  
328 statistically significant ( $p<0.05$ ) only in the Control films group. Similar measurements  
329 were reported in unfrozen whey protein based edible films (Kim & Ustunol, 2001;  
330 Ozdemir & Floros, 2008). These authors considered that, because the total solids level  
331 remained constant in the formulation, the incorporation of BW reduced the soluble  
332 matter present in the films, and consequently the solubility.

333

### 334 **3.4. Moisture sorption isotherms**

335 Experimental data of EMC for Frozen and Control emulsion films with different BW  
336 contents are shown in Figure 2. A slow increase in the EMC until 0.58  $a_w$ , followed by

337 an abrupt increase in the EMC was observed. Such sigmoidal water sorption isotherms  
338 are characteristic of materials rich in hydrophilic polymers (Zinoviadou, Koutsoumanis,  
339 & Biliaderis, 2009). The effect of the freezing process on the EMC was dependant on  
340 the  $a_w$  region. Although the freezing process produced slight differences in the EMC  
341 values, those differences were more notorious at higher  $a_w$  values.

342 Analyzing the parameters of the GAB model ( $m_0$ ,  $k$  and  $C$ ), parameter  $C$  was more  
343 sensible to freezing, showing an increase in films without BW and with 40% of BW;  
344 and a decrease in films with 20% of BW (Table 2). Although parameter  $m_0$  slightly  
345 changed, a clear tendency was not found. On the other hand, parameter  $k$  remained  
346 almost invariable.

347 In reference to the effect of the addition of BW, a decreasing tendency in parameter  $m_0$   
348 was observed. The incorporation of lipids reduces the water sorption capacity of the  
349 film due to the fact that lipids correspond to a fraction of solids with a low water uptake  
350 capacity, especially beeswax, which is very hydrophobic (Fabra, Talens, Gavara, &  
351 Chiralt, 2002). Thus, the decrease in the monolayer moisture content was also reported  
352 by Kim and Ustunol (2001) in the case of WPI/candelilla wax and WPI/butterfat. These  
353 authors also found a decrease in  $C$  and  $k$  parameters when lipids were present in the  
354 formulations. In our investigation, a clear effect of the addition of BW on parameter  $C$   
355 was not found, and lipid addition did not affect parameter  $k$ .

356

### 357 **3.5. Mechanical properties**

358 Tables 3 and 4 show the puncture strength (PS) and deformation (D) calculated from  
359 force-distance and the tensile strength (TS), elongation (E) and elastic modulus (EM)  
360 derived from stress-strain curves, respectively.

361 The freezing process increased PS and D of films without BW, but did not affect the  
362 response to puncture of films with BW. The addition of BW decreased the PS and D of  
363 Control and Frozen films. This could be explained because of the expected weakening  
364 and lubricating effect of lipids on the whey protein films (Banerjee & Chen, 1995).  
365 In tensile test, the freezing process decreased both TS and EM, in films without BW as  
366 well as in films with BW. In general E was not affected by freezing. The addition of  
367 BW decreased all parameters of Control and Frozen films. These results may be related  
368 to the fact that BW causes the disruption of the continuous matrix and induces the  
369 development of a heterogeneous film structure (Navarro-Tarazaga, Sothornvit & Pérez-  
370 Gago, 2008). Our results were similar to the reports of other authors in unfrozen whey  
371 protein emulsion films (Shellhammer & Krochta, 1997; Talens & Krochta, 2005).

372

### 373 **3.6. Principal component analysis**

374 PCA was applied to visualize the distribution of film samples according to film  
375 formulation and treatment (Control and Frozen films) and to achieve an adequate  
376 condensation of the information. PC1 and PC2 explained together the 82.2% of the  
377 variance, with PC1 and PC2 explaining the 72.2% and 10% of the variance,  
378 respectively.

379 Figure 3A shows PC1 versus PC2 score plot displaying the relationship between the  
380 samples in the new coordinate (PC defined) space and assembling film samples  
381 according to their composition and treatment (Control or Frozen). The score plot  
382 illustrated the separation of the samples in four groups: Control 0% BW, Frozen 0%  
383 BW, Control 20 and 40% BW and Frozen 20 and 40% BW. PC1 separated the samples  
384 according to the presence of BW in the film formulation, and PC2 spreaded the samples  
385 from down to up according to treatment.



386 Figure 3B shows the PC1 and PC2 loadings plot illustrating the relationship between the  
387 original variables and the principal components and helping to identify the most  
388 important parameters of the discrimination observed in the PC score plot (Henrique,  
389 Teófilo, Sabino, Ferreira, & Cereda, 2007). Variables with higher absolute values of  
390 PC1 loadings (PS and D) explained the sample separation according to the presence of  
391 BW in the film formulation. On the other hand, variables with higher absolute values of  
392 PC2 loadings (WVP, Solubility and EM) explained the sample arrangement according  
393 to treatment (Frozen and Control films). The EMC at different  $a_w$  did not show any clear  
394 effect on the sample distribution.

395 PCA results allowed to summarize the information showing that freezing increased WVP  
396 and solubility but decreased the elastic modulus of whey protein films, both in films  
397 with and without BW. On the other hand, freezing increased puncture parameters only  
398 in formulations without BW, being this property the most affected by the film  
399 formulation.

400

#### 401 **4. Conclusions**

402 Whey protein emulsion films proved to be resistant to the freezing process (freezing,  
403 frozen storage and thawing) maintaining their physical integrity. Frozen films were as  
404 efficient as Control films with regards to moisture sorption. In reference to the effect of  
405 the freezing process on the mechanical properties, puncture resistance was maintained  
406 and in some formulations was even improved while tensile resistance was negatively  
407 affected. In summary, whey protein emulsion films could constitute a good alternative  
408 as a treatment to preserve the quality of frozen foods; however optimization of the  
409 formulations should be performed.

410

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417

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555 properties of whey protein isolate films containing oregano oil and their antimicrobial  
556 action against spoilage flora of fresh beef. *Meat Science*, 82(3), 338-345.

557

558 **Table 1.** Effect of freezing on water vapour permeability (WVP) and solubility of whey  
559 protein concentrate emulsion films.

Group	Beeswax (%)	WVP (gmm/m <sup>2</sup> hkPa)	Solubility (%)
Frozen	0	3.76±0.19 <sup>a</sup>	38.4±5.1 <sup>a</sup>
Control	0	3.48±0.17 <sup>ab</sup>	39.4±5.0 <sup>a</sup>
Frozen	20	3.29±0.15 <sup>bc</sup>	34.8±6.3 <sup>ab</sup>
Control	20	3.07±0.14 <sup>c</sup>	27.1±3.4 <sup>bc</sup>
Frozen	40	3.38±0.15 <sup>b</sup>	31.1±4.1 <sup>abc</sup>
Control	40	2.56±0.08 <sup>d</sup>	22.4±1.7 <sup>c</sup>

560

561 Data corresponds to mean values and standard deviations of five samples.

562 Values with different letters in each column are significantly different (p<0.05),  
563 according to Tukey's test.

564



565 **Table 2.** Parameters obtained by fitting of equilibrium moisture content of whey protein  
 566 concentrate emulsion films to the GAB model:  $m_0$  (monolayer moisture content), C  
 567 (surface heat constant) and k (additional constant).

Group	Beeswax (%)	$m_0$	C	k	$R^2$	Error <sup>a</sup> (%)
Frozen	0	10.54	25.56	0.93	0.99	3.98
Control	0	10.78	22.37	0.93	1.00	5.16
Frozen	20	8.34	15.27	0.93	1.00	2.81
Control	20	7.40	25.52	0.93	0.99	5.54
Frozen	40	8.18	98.75	0.90	1.00	2.44
Control	40	8.27	25.61	0.93	0.99	5.43

568 <sup>a</sup> Calculated according to Coupland et al. (2000).

569

570 **Table 3.** Effect of freezing on parameters derived from the puncture test of whey  
571 protein concentrate emulsion films: PS (puncture strength) and D (deformation).

Group	Beeswax (%)	PS (N)	D (mm)
Frozen	0	2.20±0.28 <sup>a</sup>	1.92±0.19 <sup>a</sup>
Control	0	1.86±0.34 <sup>b</sup>	1.75±0.14 <sup>b</sup>
Frozen	20	0.25±0.07 <sup>c</sup>	0.55±0.11 <sup>c</sup>
Control	20	0.21±0.03 <sup>c</sup>	0.50±0.09 <sup>c</sup>
Frozen	40	0.15±0.03 <sup>c</sup>	0.45±0.06 <sup>c</sup>
Control	40	0.19±0.04 <sup>c</sup>	0.44±0.07 <sup>c</sup>

572

573 Data corresponds to mean values and standard deviations of ten samples.

574 Values with different letters in each column are significantly different ( $p < 0.05$ ),  
575 according to Tukey's test.

576

577 **Table 4.** Effect of freezing on parameters derived from the tensile test of whey protein  
 578 concentrate emulsion films: TS (tensile strength), E (elongation) and EM (elastic  
 579 modulus).

Group	Beeswax (%)	TS (MPa)	E (%)	EM (MPa)
Frozen	0	3.69±0.43 <sup>b</sup>	4.99±0.59 <sup>a</sup>	154±9 <sup>c</sup>
Control	0	4.92±0.78 <sup>a</sup>	3.50±0.98 <sup>b</sup>	229±22 <sup>a</sup>
Frozen	20	1.40±0.10 <sup>d</sup>	2.30±0.23 <sup>c</sup>	109±9 <sup>d</sup>
Control	20	2.63±0.36 <sup>c</sup>	2.38±0.36 <sup>c</sup>	185±12 <sup>b</sup>
Frozen	40	1.09±0.22 <sup>d</sup>	1.89±0.35 <sup>c</sup>	103±9 <sup>d</sup>
Control	40	1.64±0.33 <sup>d</sup>	1.80±0.42 <sup>c</sup>	149±12 <sup>c</sup>

580

581 Data corresponds to mean values and standard deviations of ten samples.

582 Values with different letters in each column are significantly different ( $p < 0.05$ ),

583 according to Tukey's test.

584

585 **Figure captions**

586

587 **Figure 1.** Scanning electron micrographs of Frozen and Control WPC emulsion films:

588 In all pictures the evaporation surface of the film is on the top. Micrographs labelled (A)  
589 showed the cross section of the film and the surface exposed to air during drying.

590 Micrographs labelled (B) showed the film cross section and the surface in contact with  
591 the Petri dish during drying. The comparison between (A) and (B) illustrates the  
592 occurrence of the destabilization during drying of whey protein films containing BW.

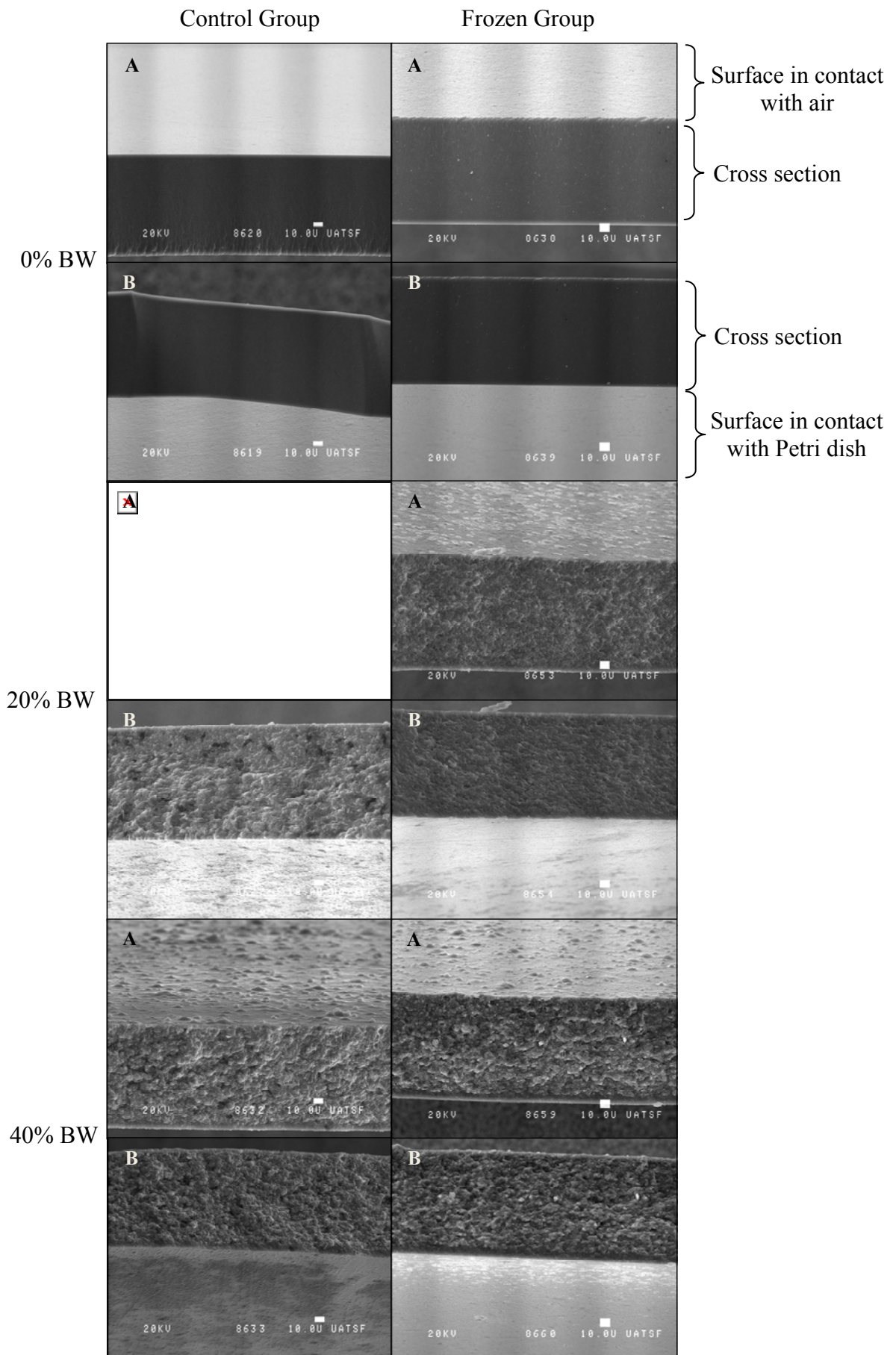
593

594 **Figure 2.** Moisture sorption isotherms of Frozen and Control WPC emulsion films.

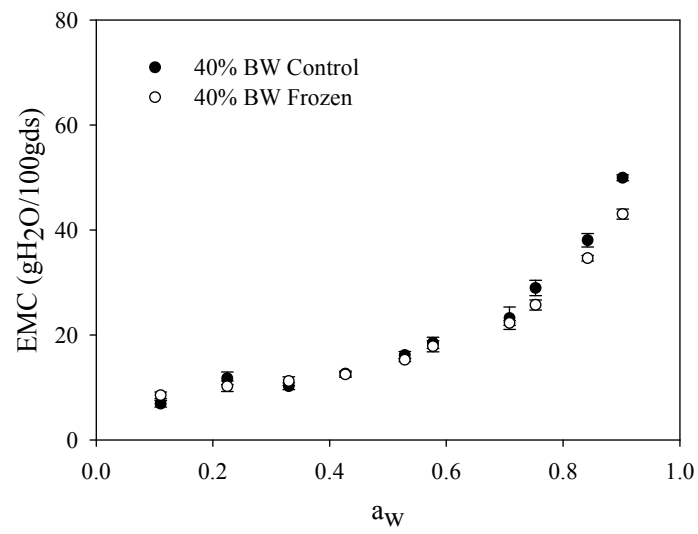
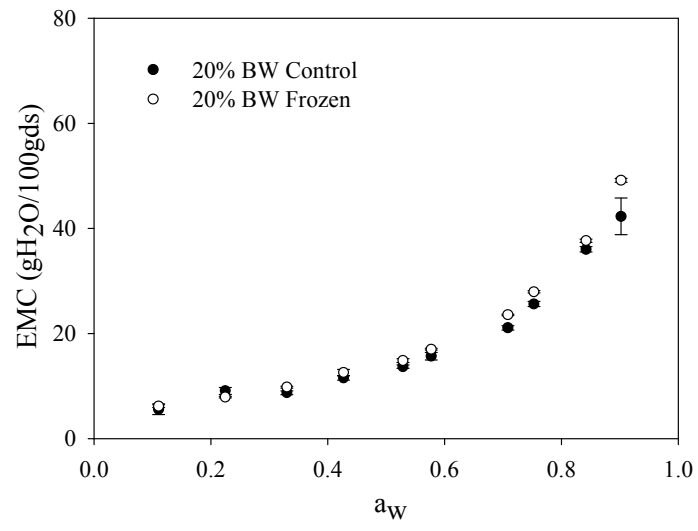
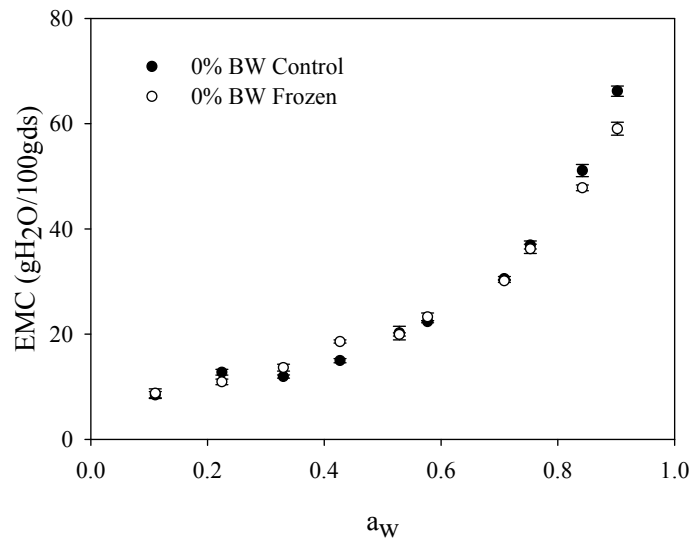
595 Bars are based on standard deviations (n=5).

596

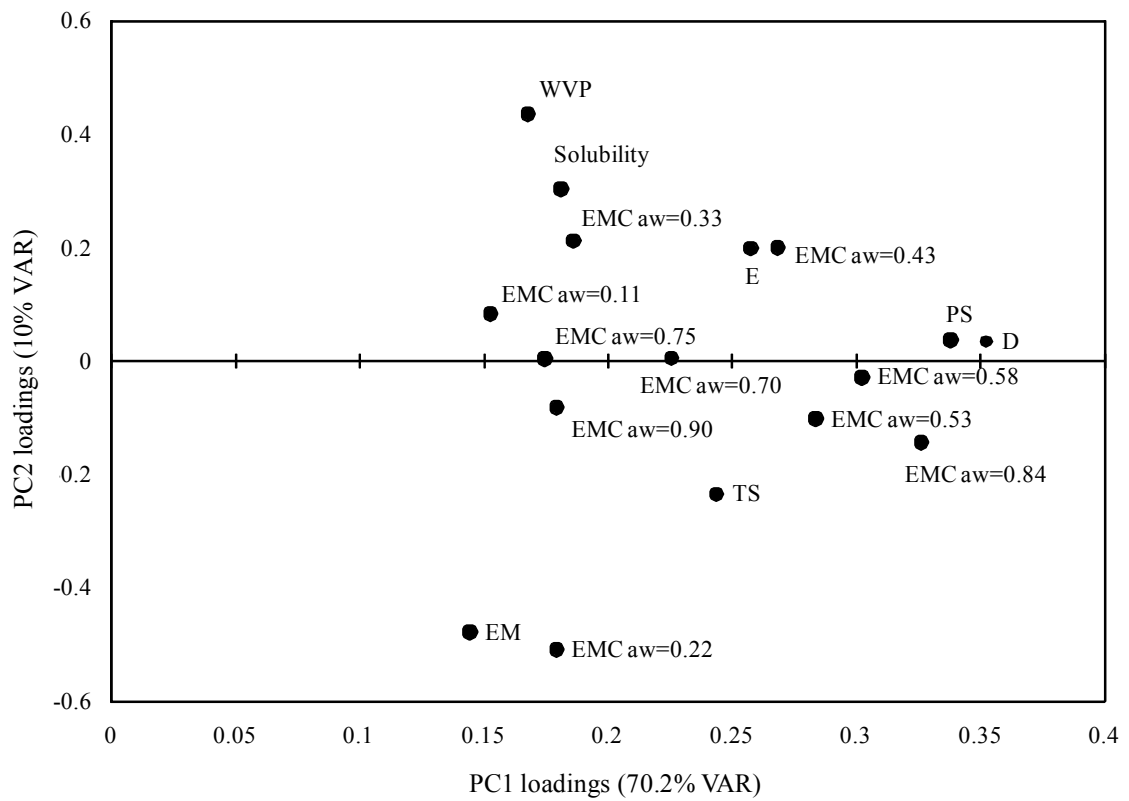
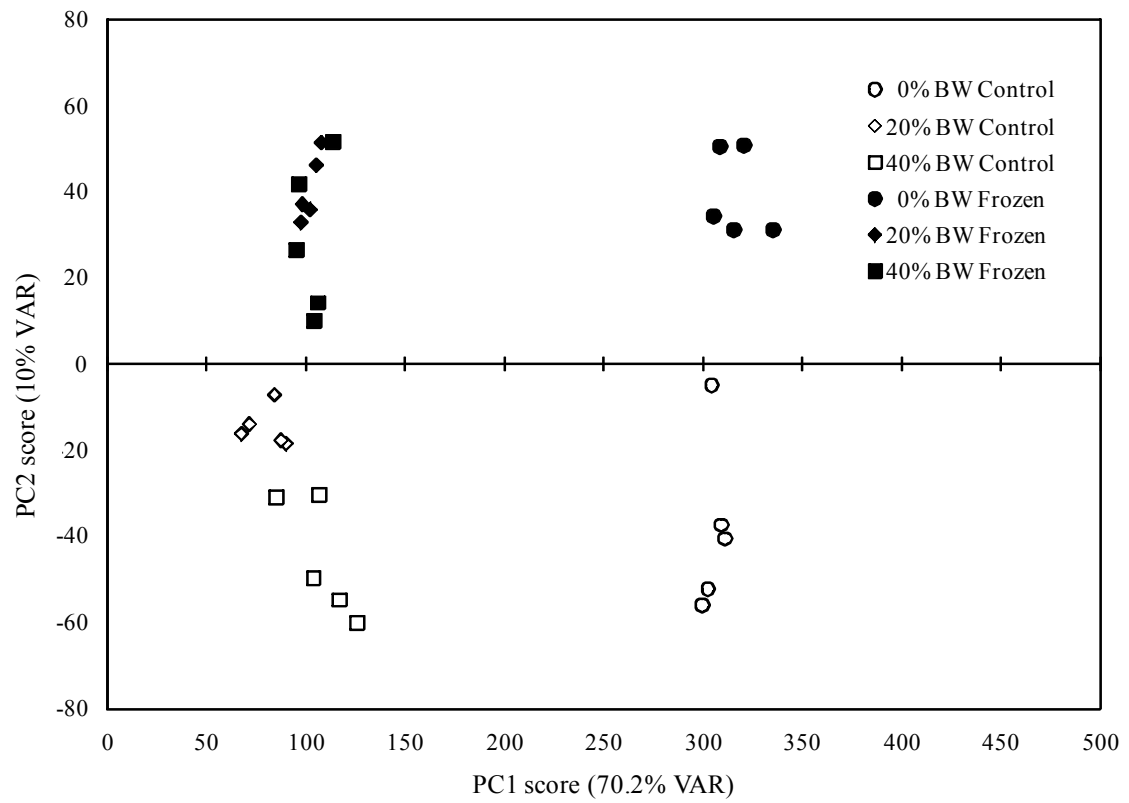
597 **Figure 3.** Plots of the first two principal components (PC1 vs. PC2): (a) PC scores plot  
598 of Control and Frozen WPC emulsion films. (b) PC loadings plot. WVP: water vapour  
599 permeability; PS: puncture strength; D: deformation; TS: tensile strength; E: elongation;  
600 EM: elastic modulus. EMC: equilibrium moisture content (at each  $a_w$  value).



**Figure 1**



**Figure 2**



**Figure 3**